# (19) World Intellectual Property Organization International Bureau



# A REGION BANK KANA NA BANKAN BERGAN KANA KANA KANA KANA KANA BANKA BERGA BANKAN KANA KANA KANA KANA KANA KANA

# (43) International Publication Date 25 October 2001 (25.10.2001)

#### **PCT**

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(51) International Patent Classification<sup>7</sup>: F04C 2/18, B29C 47/50, B01F 5/14

B29C 47/76,

(74) Agent: BARKER BRETELL; 138 Hagley Road, Edgbaston, Birmingham B16 9PW (GB).

(81) Designated States (national): AE, AG, AL, AM, AT, AU,

AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR.

HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR,

LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM,

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15 April 2000 (15.04.2000) GB

(71) Applicant (for all designated States except US): A-Z FORMEN-UND MASCHINENBAU GMBH [DE/DE]; Dessauerstrasse 9, D-80992 München (DE).

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

#### Published:

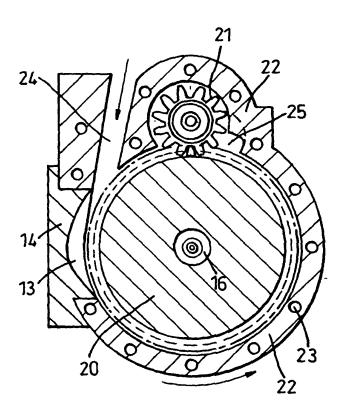
with international search report

(72) Inventor; and

(75) Inventor/Applicant (for US only): MEYER, Paul [GB/CH]; Via Locarno, 27 B, CH-6612 Ascona (CH).

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: GEAR PUMP EXTRUDERS



(57) Abstract: An extruder for polymeric or elastomeric material includes a gear pump having a casing (1) in which a pair of toothed gearwheels (20, 21) rotate, a feed inlet (24) for feeding material into an intake nip between a gearwheel (20) and the casing (1), and an outlet (25) for the material. In order to provide a substantially continuous feed of material, and for degaging of the material, at least one recess (13) is provided in the casing for the intake nip, to prevent feed strip or sheet being cut off at the nip. Air or gas will tend to be expelled backwards through the inlet (24), or may be expelled by a non-fed gearwheel (21). An escape passage may be provided for such air or gas.

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WO 01/78967 A

Intel\_\_\_\_nal Application No PCT/GB 00/03504

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 B29C47/76 F04C F04C2/18 B01F5/14 B29C47/50 According to International Patent Classification (IPC) or to both national classification and IPC **B. FIELDS SEARCHED**  $\begin{array}{ccc} \text{Minimum documentation searched} & \text{(classification system followed by classification symbols)} \\ IPC 7 & B29C & F04C & B01F \end{array}$ Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the International search (name of data base and, where practical, search terms used) EPO-Internal, WPI Data, PAJ C. DOCUMENTS CONSIDERED TO BE RELEVANT Relevant to claim No. Category ° Citation of document, with indication, where appropriate, of the relevant passages X US 5 855 927 A (UTH PETER JOACHIM ET AL) 1-4,6-8, 5 January 1999 (1999-01-05) 10,13-15 Y column 1, line 1 -column 2, line 50; 11,18,19 figures 1,3,6 X WO 00 20759 A (DOW CHEMICAL CO) 1,7 13 April 2000 (2000-04-13) page 1, line 31 -page 2, line 2 page 5, line 10 - line 15; figures 4,7 Υ DE 197 17 295 A (DANFOSS AS) 11 29 October 1998 (1998-10-29) column 1, line 1 - line 20 column 9, line 55 - line 68 Further documents are listed in the continuation of box C. X Patent family members are listed in annex. Special categories of cited documents: "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the "A" document defining the general state of the art which is not considered to be of particular relevance invention "E" earlier document but published on or after the international "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such docu-ments, such combination being obvious to a person skilled "O" document referring to an oral disclosure, use, exhibition or document published prior to the international filing date but later than the priority date claimed in the art. "&" document member of the same patent family Date of mailing of the international search report Date of the actual completion of the international search 30.03.01 22 March 2001 Name and mailing address of the ISA Authorized officer European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016 Ingelg rd, T.

Inté anal Application No
PCT/GB 00/03504

		PC1/GB 00/03504
	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Υ .	WO 98 09792 A (LIMPER ANDREAS) 12 March 1998 (1998-03-12) cited in the application page 1, paragraph 1 -page 3, paragraph 1; figure 1	18,19
Α	EP 0 816 049 A (TROESTER MASCHF PAUL) 7 January 1998 (1998-01-07) abstract; figure 1	2
A	GB 1 574 357 A (UNION CARBIDE CORP) 3 September 1980 (1980-09-03) page 10, line 17 - line 20; figure 10	6
A	FR 720 949 A (FORGES ET ATELIERS DE MEUDON) 1 March 1932 (1932-03-01) page 1, line 1 - line 10 page 2, line 30 - line 61; figure 5	12
A	DE 196 14 894 A (HASSE HARTMUT) 17 April 1997 (1997-04-17) figure 2	

PCT/GB 00/03504

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)
This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:  S  S  S  S  S  S  S  S  S  S  S  S  S
Claims Nos.:     because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II Observations where unity of invention is lacking (Continuation of Item 2 of Irst sheet)
This International Searching Authority found multiple inventions in this international application, as follows:
see additional sheet
As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. As only some of the required additional search fees were timely paid by the applicant, this international Search Report covers only those claims for which fees were paid, specifically claims Nos.:  9,11,12,16,17,18-22
4. No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark on Protest  The additional search fees were accompanied by the applicant's protest.  X  No protest accompanied the payment of additional search fees.

### FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-8, 10, 13-15

Details of the intake region

2. Claims: 9, 11, 12, 16, 17

Adaptations of the outlet region for use with one non-fed gearwheel.

3. Claims: 18-22

Gear pump extruder co-operating with second extruder.

4. Claim: 23

Driving of gearwheel.

5. Claims: 24, 25

Degassing through the end plates

Information on patent family members

Inte nal Application No
PCT/GB 00/03504

	ent document in search report		Publication date		Patent family member(s)	/		Publication date
US !	5855927	A	05-01-1999	DE	196397	735	A	02-04-1998
				EP	08396	-		06-05-1998
WO	0020759	Α	13-04-2000	AU	60497	799	Α	26-04-2000
DE	19717295	A	29-10-1998	AU	70305	98	A	13-11-1998
				CN	12528			10-05-2000
				WO	98481			29-10-1998
				EP	09779	933	A 	09-02-2000
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				EP	09251	167	A	30-06-1999
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FR	720949	A	01-03-1932	NON	IE			
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				WO	97388	837	Α	23-10-1997
				EΡ	09041			31-03-1999
				JP	20005075		T	20-06-2000
				PL	3295	529	Α	29-03-1999

US



# **PCT**

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference	FOR FURTHER see Notification of	f Transmittal of International Search Report 20) as well as, where applicable, item 5 below.
PMM1950	ACTION (FORM FC 1713AV2.	zo) as well as, where applicable, item 5 below.
International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)
PCT/GB 00/03504	13/09/2000	15/04/2000
Applicant		
. 7 FORMEN UND MACCUINENDA	NI OMBIS -+ -1	
A-Z FORMEN-UND MASCHINENBA	W GMBH et al.	
This International Search Report has beer according to Article 18. A copy is being tra	n prepared by this International Searching Auth	ority and is transmitted to the applicant
	_	
This International Search Report consists    X	of a total of sheets.  a copy of each prior art document cited in this	renort
it is also accompanied by	a copy of each prior art document cited in this	oport.
Basis of the report		
	international search was carried out on the bas ess otherwise indicated under this item.	is of the international application in the
the international search w Authority (Rule 23.1(b)).	as carried out on the basis of a translation of th	ne international application furnished to this
b. With regard to any nucleotide an	d/or amino acid sequence disclosed in the int	ternational application, the international search
was carried out on the basis of the contained in the internation	e sequence listing: anal application in written form.	
filed together with the inte	rnational application in computer readable form	ı <b>.</b>
furnished subsequently to	this Authority in written form.	
furnished subsequently to	this Authority in computer readble form.	
	osequently furnished written sequence listing do s filed has been furnished.	pes not go beyond the disclosure in the
the statement that the info furnished	ormation recorded in computer readable form is	identical to the written sequence listing has been
2. Certain claims were fou	nd unsearchable (See Box I).	
3. $\overline{X}$ Unity of invention is lac	king (see Box II).	
4. With regard to the <b>title</b> ,		
X the text is approved as su	bmitted by the applicant.	
the text has been establis	hed by this Authority to read as follows:	
5. With regard to the abstract, the text is approved as su	shmitted by the applicant	
the text has been establis	shed, according to Rule 38.2(b), by this Authorite date of mailing of this international search rep	
6. The figure of the <b>drawings</b> to be published.	·	5
X as suggested by the appl		None of the figures.
because the applicant fai		
because this figure better	characterizes the invention.	



Box I Observations where certain claims were found unsearchable (Continuation   f item 1 of first she   t)
This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
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Claims Nos.:     because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
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see additional sheet
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2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
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4. No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
R mark on Pr test  The additional search fees were accompanied by the applicant's protest.  X  No protest accompanied the payment of additional search fees.

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3. Claims: 18-22

Gear pump extruder co-operating with second extruder.

4. Claim: 23

Driving of gearwheel.

5. Claims: 24, 25

Degassing through the end plates

#### **NAL SEARCH REPORT** INTERN



A. CLASSIFICATION OF SUBJECT MATTER IPC 7 B29C47/76 F04C2/18

B29C47/50

B01F5/14

According to International Patent Classification (IPC) or to both national classification and IPC

#### **B. FIELDS SEARCHED**

 $\label{localization} \begin{array}{lll} \mbox{Minimum documentation searched} & \mbox{(classification system followed by classification symbols)} \\ \mbox{IPC} & 7 & \mbox{B29C} & \mbox{F04C} & \mbox{B01F} \end{array}$ 

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ

C. DOCUM	ENTS CONSIDERED TO BE RELEVANT	
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Х	US 5 855 927 A (UTH PETER JOACHIM ET AL) 5 January 1999 (1999-01-05)	1-4,6-8, 10,13-15
Y	column 1, line 1 -column 2, line 50; figures 1,3,6	11,18,19
X	WO 00 20759 A (DOW CHEMICAL CO) 13 April 2000 (2000-04-13) page 1, line 31 -page 2, line 2 page 5, line 10 - line 15; figures 4,7	1,7
Υ	DE 197 17 295 A (DANFOSS AS) 29 October 1998 (1998-10-29) column 1, line 1 - line 20 column 9, line 55 - line 68	11
	-/	

Y Further documents are listed in the continuation of box C.	Patent family members are listed in annex.
"A" document defining the general state of the art which is not considered to be of particular relevance  "E" earlier document but published on or after the international filling date  "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  "O" document referring to an oral disclosure, use, exhibition or other means  "P" document published prior to the international filling date but later than the priority date claimed	<ul> <li>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</li> <li>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</li> <li>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</li> <li>"&amp;" document member of the same patent family</li> </ul>
Date of the actual completion of the international search  22 March 2001	Date of mailing of the international search report  3 0, 03, 01
Name and mailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2  NL – 2280 HV Rijswijk  Tel. (+31–70) 340–2040, Tx. 31 651 epo nl,  Fax: (+31–70) 340–3016	Authorized officer  Ingelg rd, T.

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Internal Application No
PCT/GB 00/03504

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	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	WO 98 09792 A (LIMPER ANDREAS) 12 March 1998 (1998-03-12) cited in the application page 1, paragraph 1 -page 3, paragraph 1; figure 1	18,19
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Α	FR 720 949 A (FORGES ET ATELIERS DE MEUDON) 1 March 1932 (1932-03-01) page 1, line 1 - line 10 page 2, line 30 - line 61; figure 5	12
A	DE 196 14 894 A (HASSE HARTMUT) 17 April 1997 (1997-04-17) figure 2	

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Patent document cited in search report		Publication date	Patent family member(s)	Publication date
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			EP 0839630	A 06-05-1998
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